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| FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV. 10-95) TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. § 371 | | ATTORNEY'S DOCKET NUMBER C4203(C) |
| | | U.S. APPLICATION NO. (If known, see 37 CFR § 1.5) 10/506390 |
| INTERNATIONAL APPLICATION NO. PCT/EP03/00283 | INTERNATIONAL FILING DATE 13 JANUARY 2003 | PRIORITY DATE CLAIMED 06 MARCH 2002 |
| TITLE OF INVENTION AZETIDINIUM-FUNCTIONALISED POLYMER AND COMPOSITIONS CONTAINING THE SAME | | |
| APPLICANT(S) FOR DO/EO/US PARKER, ANDREW PHILIP | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. § 371. 3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(l). 4. <input checked="" type="checkbox"/> A proper DEMAND for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. §371(c)(2)) <ul style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. §371(c)(2)). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)) <ul style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made, however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. §371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or executed declaration of the inventor(s) (35 U.S.C. §371(c)(4)). 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)). | | |
| Items 11. To 16. Below concern document(s) or information included: | | |
| <ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. §§ 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. §§3.28 and 3.31 is included. 13. <input type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input type="checkbox"/> Other items or information: | | |

U.S. APPLICATION NO. (if known, see 37 CFR §1.5) 10/506,399 INTERNATIONAL APPLICATION NO. PCT/EP03/00283 ATTORNEY'S DOCKET NUMBER C4203(C)

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| 17. <input checked="" type="checkbox"/> The following fees are submitted: | | | | CALCULATIONS PTO USE ONLY | |
| <p>BASIC NATIONAL FEE (37 CFR §1.492(a)(1)-(5)):</p> <p>Search Report has been prepared by the EPO or JPO \$ International preliminary examination fee paid to USPTO \$ (37 CFR §1.482)</p> <p>No international preliminary examination fee paid to USPTO \$ (37 CFR §1.482) but international search fee paid to USPTO \$ (37 CFR §1.445(a)(2))</p> <p>Neither international preliminary examination fee (37 CFR §1.482) \$ nor international search fee (37 CFR §1.445(a)(2)) paid to USPTO \$ International preliminary examination fee paid to USPTO \$ (37 CFR §1.482) and all Claims satisfied provisions of PCT article 33(2)-(4).</p> | | | | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | \$920.00 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR §1.492(e)). | | | | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total Claims | 10 - 20 = | | X \$18.00 | | |
| Independent Claims | 1 - 3 = | | X \$80.00 | | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | | X \$270.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$920.00 | |
| Reduction of 1/2 for filing by small entity, if applicable. A Verified Small Entity Statement must also be filed (Note 37 C.F.R. § § 1.9, 1.27, 1.28). | | | | | |
| SUBTOTAL = | | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. § 1.492(f)). | | | | | |
| TOTAL NATIONAL FEE = | | | | | |
| Fee for recording the enclosed assignment (37 C.F.R. § 1.21(h)). The assignment must be accompanied by an appropriate cover sheet ((37 C.F.R. §§ 3.28, 3.31). \$40.00 per property. | | | | | |
| TOTAL FEES ENCLOSED = | | | | \$920.00 | |
| | | | | Amount to be refunded: | |
| | | | | Charged: | |

- a. A check in the amount of _____ to cover the above fees is enclosed.
- b. Please charge Deposit Account No. 12-1155 in the amount of \$920.00 to cover the above fees. Triplicate copies of this letter are enclosed.
- c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-1155. Triplicate copies of this letter are enclosed.

Customer Number: 00201

NOTE: Where an appropriate time limit under 37 C.F.R. §§ 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. §§ 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Respectfully submitted,

Alan G. Boratko

Alan A. Bomstein
Attorney of Record
Reg. #40,919